

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

04/10/2006

Timothy R. Schulte
 Storage Technology Corporation
 One StorageTek Drive

Louisville, CO 80028-4309

06/05/2006 EMAIL 00000026 194545 10750101

01 FC:1501 1400.00 DA
 02 FC:8001 18.00 DA



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Stephanie Klepp (Depositor's name)
 (Signature)
 30 May 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,101	12/29/2003	Shih-Li Hsu	2003-049-ICE	9599

TITLE OF INVENTION: CLOSED LOOP ADAPTIVE PRESTAGE METHOD, SYSTEM, AND PRODUCT FOR PRESTAGING CACHE BLOCKS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	07/10/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
MOAZZAMI, NASSER G	2187	711-137000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Yee & Associates, P.C.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Storage Technology Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Louisville, Colorado

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 6

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-4545 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Timothy R. Schulte

Date

2 May 2006

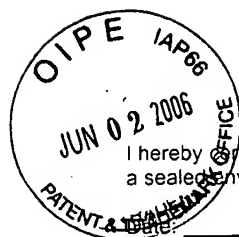
Typed or printed name

Registration No.

29,013

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the U.S. Postal Service as first class mail in a sealed envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

30 May 2006
Date of Deposit

Stephanie Klepp
Printed Name: Stephanie Klepp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	SHIH-LI HSU ET AL.)	EXAMINER:	N.G. Moazzami
SERIAL NO.:	10/750,101)	ART UNIT:	2187
FILED:	December 29, 2003)	DATE OF NOTICE OF	
)	ALLOWANCE:	April 10, 2006
FOR:	CLOSED LOOP ADAPTIVE PRESTAGE METHOD, SYSTEM, AND PRODUCT FOR PRESTAGING CACHE BLOCKS			

ISSUE FEE TRANSMITTAL

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following documents related to the above-identified application:

- | | |
|---|---|
| <input checked="" type="checkbox"/> Acknowledgment of Receipt Card | <input checked="" type="checkbox"/> Formal drawings submitted to PTO
December 29, 2003 |
| <input checked="" type="checkbox"/> Issue Fee Transmittal Form | |
| <input checked="" type="checkbox"/> Please charge Deposit Account No. 19-4545 in the amount of \$1,418.00 (\$1,400.00 for Issue Fee and \$18.00 for Advance Order Fee). | |
| <input checked="" type="checkbox"/> We believe no additional fees are required. If, however, additional fees are, indeed, required, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 19-4545. | |
| <input checked="" type="checkbox"/> Two additional copies of this sheet are enclosed. | |

Dated: 2 May 2006

Respectfully submitted,

STORAGE TECHNOLOGY CORPORATION

By: Timothy R. Schulte

Timothy R. Schulte
Registration No. 29,013

One StorageTek Drive, MS-4309
Louisville, CO 80028-4309
Telephone: (303) 673-5989
Facsimile: (303) 673-4151